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P/3331-111 (CONT.)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Edward R. HOWORKA

Date: November 7, 2000

Serial No.: Not Yet Known

Filed: Herewith

For: ANONYMOUS TRADING SYSTEM WITH IMPROVED QUOTE INPUT CAPABILITIES

Assistant Commissioner for Patents
Washington, DC 20231

REQUEST FOR CONTINUING APPLICATION UNDER 37 C.F.R. 1.53(b)

Sir:

This is a request for the filing of a Continuation application under the provisions of 37 C.F.R. 1.53(b) of pending application Serial No. 09/029,181, filed June 15, 1998, by Edward R. HOWORKA entitled "ANONYMOUS TRADING SYSTEM WITH IMPROVED QUOTE INPUT CAPABILITIES". The prior application is hereby incorporated by reference.

Enclosed is a copy of the prior application, including the oath or Declaration as originally filed. A copy of a subsequent Power of Attorney to the undersigned as filed in the prior application is also enclosed.

I hereby state that the attached papers are a copy of prior application Serial No. 09/029,181, filed June 15, 1998, without any new matter therein.

The filing fee is calculated as follows:

BASIC Filing Fee:	\$ 710.00
Number of Claims in Excess of 20: ___ x \$18	0.00
Number of Independent Claims over 3: ___ x \$80	0.00
One or more multiple dependent claims: \$260.....	\$ 0.00
TOTAL FILING FEE:	<u>\$ 710.00</u>

Check No. 1990 which includes the amount of \$710.00 in payment of the filing fee is enclosed herewith.

The Patent and Trademark Office is hereby authorized to charge any additional fees or credit any refund, at any time during the prosecution of this application, to Deposit Account No. 15-0700.

Please amend the specification by inserting at page 1, after the title, the following paragraph:

--RELATED APPLICATIONS

This is a continuation of application Serial No.09/029,181, filed June 15, 1998, now allowed, which is based upon PCT International Application No. PCT/US96/14086, filed August 28, 1996, which claims priority to Provisional Application No. 60/002,856, filed August 28, 1995.--

This application includes four (4) sheets(s) of drawings containing Figures 1-4.

Priority under 35 U.S.C. §119 based on Provisional Application No. 60/002,856, filed August 28, 1995, is claimed.

The prior application was assigned to EBS Dealing Resources, Inc., and is recorded at Reel 9338, Frame 671.

The power of attorney in the prior application is to customer no. 2352, OSTROLENK, FABER, GERB & SOFFEN, LLP, 1180 Avenue of the Americas, New York, New York 10036-8403, and the members of the firm: Samuel H. Weiner, Reg. No. 18,510; Robert C. Faber, Reg. No. 24,322; Edward A. Meilman, Reg. No. 24,735; Stanley H. Lieberstein, Reg. No. 22,400; Steven I. Weisburd, Reg. No. 27,409; Max Moskowitz, Reg. No. 30,576; Stephen A. Soffen, Reg. No. 31,063; James A. Finder, Reg. No. 30,173; William O. Gray, III, Reg. No. 30,944; Louis C. Dujmich, Reg. No. 30,625, and Douglas A. Miro, Reg. No. 31,643, as attorneys with full power of substitution and revocation to prosecute this application,

to transact all business in the Patent and Trademark Office in connection therewith and to receive all correspondence. The Power appears in the original papers in the prior application.

SEND CORRESPONDENCE TO: DIRECT TELEPHONE CALLS TO:

OSTROLENK, FABER, GERB & SOFFEN, LLP (212) 382-0700
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New York, New York 10036-8403
Customer No. 2352

EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office (mail label # EL583737347US) in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 7, 2000:

Dorothy Jenkins

Name of Person Mailing Correspondence

Dorothy Jenkins

Signature

November 7, 2000

Date of Signature

Respectfully submitted,

Steven I. Weisburd

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Serial No. _____ Filing Date HEREWITH OFGS File No. P/3331-111
Title ANONYMOUS TRADING SYSTEM WITH IMPROVED
First Inventor Edward R. HOWORKA Date 11/7/00
The PTO has received: _____ Last Due Date: _____

☒ Patent Application of 13
Pages (including claims & abstract)
☒ Declaration or ☐ Designation Sheet
☒ Drawings 4 Sheet(s)/Figs. 1 to 4
☐ Priority Document
☐ Small Entity Declaration
☐ Assignment & Conveyance Cover Sheet
☐ Information Disclosure Statement
☐ PTO-1449
☐ Amendment
☐ Affidavit or Declaration
☒ Extra set of drawings; Power of Attorney; and
☒ Check No. 1990 for \$ 14,000.00
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☐ TM Application ☐ ITU Basis
☐ Statement of Use JC954 U.S. PTO
☐ Extension of Time 09/707824
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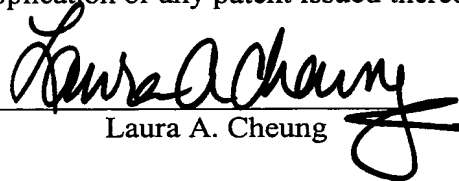
CERTIFICATION

I am the individual who sealed the envelope addressed to the Commissioner of Patents and Trademarks for the new application indicated below.

I certify that immediately before sealing the envelope, I checked its contents and made sure that each and every item listed on the transmittal letter was in the envelope. I then sealed the envelope.

I declare that all statements made herein of my own knowledge are true and were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of title 18 of the United State Code and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

Dated: November 7, 2000


Laura A. Cheung

OFGS File Number: P/3331-111 (Cont)

First Applicant: Edward R. Howorka

Title: ANONYMOUS TRADING SYSTEM WITH
IMPROVED QUOTE INPUT CAPABILITIES

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